

STONE RIDGE'S PRIVACY NOTICE

Stone Ridge Asset Management LLC (“Stone Ridge,” “we,” “us” or “our”) values your privacy. If you are a natural person who invests with Stone Ridge, this privacy notice will govern how we use your personal data. In addition, for information regarding how personal information of California residents is handled, considering the California Consumer Privacy Act, please see [here](#).

Stone Ridge's Commitment to Privacy

Stone Ridge recognizes and respects your privacy. This Privacy Notice describes the types of personal information we obtain, how we use that information and to whom we disclose it. Non-public personal information means personally identifiable financial information that is not publicly available and any list, description or other grouping of consumers (and publicly available information pertaining to such consumers) that is derived using any personally identifiable financial information that is not publicly available.

Stone Ridge does not sell your non-public personal information to any third parties. Stone Ridge uses your non-public personal information primarily to complete financial transactions that you request or to make you aware of other financial products and services offered by Stone Ridge or its affiliates.

Information Stone Ridge Collects About You

Stone Ridge collects the following categories of non-public personal information about you:

- Information that you provide, which may include your name and address, social security number or tax identification number, date of birth and/or other information;
- Information about transactions and balances in accounts with Stone Ridge or its affiliates;
- Information about transactions and balances in accounts with non-affiliated third parties; and
- Information from consumer reporting agencies.

How Stone Ridge Discloses Your Personal Information

Below are the details of circumstances in which Stone Ridge may disclose non-public personal information to third parties:

- In order to complete certain transactions or account changes at your direction, it may be necessary to provide certain non-public personal information about you, such as your name, social security number or tax identification number or date of birth, to companies, individuals or groups that are not affiliated with Stone Ridge, such as administrators, custodians, transfer agents, accountants, attorneys and broker-dealers.
- In order to notify you about other financial products and services that a Stone Ridge affiliated company offers, Stone Ridge may share non-public personal information it has about you, such as your name, contact information or information about transactions and balances in accounts with Stone Ridge or its affiliates, with a Stone Ridge affiliated company.
- In certain instances, Stone Ridge may contract with non-affiliated companies to perform services for or on behalf of Stone Ridge. Where necessary, Stone Ridge will disclose non-public personal information it has about you, such as your name, social security number or tax identification number or date of birth, to these third parties. In such cases, Stone Ridge will require the third party to use the information only for that purpose. In addition, Stone Ridge requires these third parties to treat your non-public information with the same high degree of confidentiality that Stone Ridge does.

- Finally, Stone Ridge will release non-public information about you if directed by you to do so or if Stone Ridge is authorized by law to do so, such as with respect to the investigation or assertion of legal rights, in connection with a corporate transaction involving Stone Ridge or in compliance with legal or regulatory obligations.

How Stone Ridge Safeguards Your Personal Information

Stone Ridge restricts access to non-public personal information about you to its employees and to third parties, as described above. Stone Ridge maintains physical, electronic, and procedural safeguards reasonably designed to protect the confidentiality of your non-public personal information.

Keeping You Informed

Stone Ridge reserves the right to modify this policy at any time and will keep you informed of further changes as required by law.